

BEFORE THE  
POSTAL REGULATORY COMMISSION  
WASHINGTON, D.C. 20268-0001

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RETAIL ACCESS OPTIMIZATION INITIATIVE,  
2011

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Docket No. N2011-1

**UNITED STATES POSTAL SERVICE NOTICE OF FILING  
LIBRARY REFERENCES USPS-LR-N2011-1/NP22 AND USPS-LR-N2011-1/26  
(November 2, 2011)**

The Postal Service files the material indicated below in this proceeding as Category 4 Library References responsive to a question posed by the Presiding Officer during cross-examination of witness David Ruiz on October 28, 2011):

USPS-LR-N2011-1/NP22    Small Office Variance Facility  
                                    End-of-Fiscal Year 2009-2011  
                                    Retail Hours Archive File [Non-Public]

USPS-LR-N2011-1/26     Small Office Variance Facility  
                                    End-of-Fiscal Year 2009-2011  
                                    Retail Hours Archive File

Non-public library reference N2011-1/NP22 contains data responsive to the Presiding Officer's request at page 1779 of Tr. Vol. 5 for a copy of the archival file maintained by witness Ruiz reflecting, *inter alia*, retail facility hours of operation. The Postal Service regards facility finance numbers to be commercially-sensitive and proprietary information that should not be released into the public domain. Accordingly, it is providing those data in unredacted USPS Library Reference N2011-1/NP22, as a

non-public response to Presiding Officer's request.

Library Reference N2011-1/26 consists of a public version of that same document, but with the finance numbers redacted. An application for non-public treatment of USPS Library Reference N2011-1/NP22 is attached to this Notice.

Respectfully submitted,

UNITED STATES POSTAL SERVICE

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**APPLICATION OF THE UNITED STATES POSTAL SERVICE  
FOR NON-PUBLIC TREATMENT OF MATERIALS**

(November 2, 2011)

In accordance with 39 C.F.R. § 3007.21 and Order No. 225,<sup>1</sup> the United States Postal Service (Postal Service) applies for non-public treatment of certain materials filed under seal with the Commission. Library Reference USPS-LR-N2011-1/NP22 includes sensitive commercial information, consisting of postal facility finance numbers for thousands of postal facilities for which data are recorded in the Small Office Variance tool.

**(1) The rationale for claiming that the materials are non-public, including the specific statutory basis for the claim, and a statement justifying application of the provision(s);**

The materials designated as non-public consist of information of a commercial nature that under good business practice would not be publicly disclosed. In the Postal Service's view, this information would be exempt from mandatory disclosure pursuant to 39 U.S.C. § 410(c)(2) and 5 U.S.C. § 552(b)(3). Because the portions of the materials that the Postal Service applies to file under seal fall within the scope of information not required to be publicly disclosed, the Postal Service asks the Commission to support its determination that these materials qualify as exempt from public disclosure and grant its application for their non-public treatment.

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<sup>1</sup> PRC Order No. 225, Final Rules Establishing Appropriate Confidentiality Procedures, PRC

**(2) Identification, including name, phone number, and email address for any third-party who is known to have a proprietary interest in the materials, or if such an identification is sensitive, contact information for a Postal Service employee who shall provide notice to that third party;**

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**(3) A description of the materials claimed to be non-public in a manner that, without revealing the materials at issue, would allow a person to thoroughly evaluate the basis for the claim that they are non-public;**

The non-public material contains commercial information of the Postal Service -- facility finance numbers -- for thousands of postal facilities for which data are recorded in the Small Office Variance tool. The Postal Service maintains that the non-public portions of these materials should remain confidential.

**(4) Particular identification of the nature and extent of commercial harm alleged and the likelihood of such harm;**

If the information that the Postal Service seeks to protect from disclosure due to its confidential nature were disclosed publicly, the Postal Service considers it quite likely that it would suffer harm. A finance number is an assigned six-digit or 10-digit number that identifies a postal installation for processing its financial data. In a way, it is akin to a social security number, in that if one knows the finance number for a facility, one could determine a wealth of financial data associated with that facility. Such information is information of a commercial nature, which under good business practice would not be

publicly disclosed. It is also information that, if released, could compromise security, which is why the Postal Service controls the internal release of finance numbers. In the Postal Service's opinion, this information would be exempt from mandatory disclosure pursuant to 39 U.S.C. § 410(c)(2) and 5 U.S.C. §§ 552(b)(2,-3).<sup>2</sup>

Finance numbers are also related solely to the internal rules and practices of the Postal Service, and are internal matters of a relatively limited value outside the Postal Service. On its own, the finance number of a facility does not reveal anything of significance to the public, but it constitutes a key that permits coordination of various internal accounting systems. In the Postal Service's opinion, this information would be exempt from mandatory disclosure pursuant to 5 U.S.C. § 552(b)(2)(a).

**(5) At least one specific hypothetical, illustrative example of each alleged harm;**

Harm: If someone were to come across such a document where facilities are identified purely by finance number, in and of itself that may not cause much harm. However, if that someone was a competitor who was also provided a key that identified the facility name and address associated with each finance number, that person could potentially have access to a wealth of financial data associated with respective facilities. Such information could, for example, be used to ascertain the best locations to establish

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<sup>2</sup> In certain circumstances, the Commission may determine the appropriate level of confidentiality to be afforded to such information after weighing the nature and extent of the likely commercial injury to the Postal Service against the public interest in maintaining the financial transparency of a government establishment competing in commercial markets. 39 U.S.C. § 504(g)(3)(A). The Commission has indicated that "likely commercial injury" should be construed broadly to encompass other types of injury, such as harms to privacy, deliberative process, or law enforcement interests. PRC Order No. 194, Second Notice of Proposed Rulemaking to Establish a Procedure for According Appropriate Confidentiality, Docket No. RM2008-1, Mar. 20, 2009, at 11.

competing businesses, perhaps plan theft of materials being transported by the Postal Service, or target the business of Postal Service customers.

**(6) The extent of protection from public disclosure deemed to be necessary;**

The Postal Service maintains that facility finance numbers in the SOV tool should be withheld from any person who has not agreed to the Commission's standard confidentiality requirements, including any person involved in competitive decision-making for any entity that might gain competitive advantage from use of this information.

**(7) The length of time deemed necessary for the non-public materials to be protected from public disclosure with justification thereof; and**

The Commission's regulations provide that non-public materials shall lose non-public status ten years after the date of filing with the Commission, unless the Commission or its authorized representative enters an order extending the duration of that status. 39 C.F.R. § 3007.30.

**(8) Any other factors or reasons relevant to support the application.**

The Postal Service considers this Application sufficient to fulfill the Postal Service's obligations to justify its determination that the facility finance numbers should be treated as non-public, and redacted in the public version of this filing.

***Conclusion***

For the reasons discussed, the Postal Service requests that the Commission grant this application for non-public treatment of the identified materials.